

National Stock Exchange of India

DETAILS OF MANDATORY DISPLAY

1. Publishing of Investor Charter as per Exchange circular no- NSE/ISC/50597 dated December 14, 2021 and NSE/ISC/52484 dated June 01, 2022 and display of Investor Grievance Escalation Matix as per Exchange circular no- NSE/ISC/52517 dated June 03, 2022 and NSE/ISC/54332 dated November 09, 2022.
2. Basic details of the Trading Member such as registration number, registered address of Head office and branches along with Contact Number and Email id.
3. Names, Mobile number, Email id etc. of all Key Managerial Personnels provided under sec. 2(51) of the Companies Act, 2013-
 - a. The Chief Executive Officer or the Managing Director or the Manager
 - b. The Company Secretary
 - c. The Whole-Time Director
 - d. The Chief Financial Officer
 - e. Such other officer as may be prescribed.
 - f. The Compliance Officer
 - g. Further, the Trading Member can also identify and declare any one or more personnel as their KMP(s) from the given list as per their constitution: Directors, Proprietor, Partners, or any other competent personnel as identified by the Trading member.
4. Step-by-step procedures for below-
 - a. Detailed write up on procedure for opening an account along with Flowchart and video (optional) if any with link.
 - b. Detailed write up on procedure for filing a complaint on a designated email id & finding out status of the complaint etc. along with Flowchart and video (optional) if any.
5. Details of Authorized Persons-
 - a. List of Active Authorised persons to be displayed.
 - b. List of Authorised persons cancelled by the Trading Members on account of Disciplinary grounds.